## **Conference Debate Information**

**Total Dodd-Frank time in Conference:** <u>48 hours</u> of live coverage on C-Span marking "the record for the longest conference committee coverage provided by the public affairs network in its 31-year history of legislative coverage. The number two spot is held by 1989's Savings and Loan Legislation, when C-SPAN televised 21 hours of that conference committee's deliberations" according to C-Span.

## **House Amendments Totals-**

Republican Amendments Considered: 50

Roll Call Votes on Republican Amendments: 20

Republican Amendments Accepted: 13

Democratic Amendments Considered: 21

Roll Call Votes on Democratic Amendments: 3

Democratic Amendments Accepted: 19

Bipartisan Amendments Considered: 2

Roll Call Votes on Bipartisan Amendments:

Bipartisan Amendments Accepted: 2

## **Senate Amendments Totals-**

Republican Amendments Considered: 35

Roll Call Votes on Republican Amendments: 18

Republican Amendments Accepted: 17

Democratic Amendments Considered: 24

Roll Call Votes on Democratic Amendments: 7

Democratic Amendments Accepted: 22

Bipartisan Amendments Considered:

Roll Call Votes on Bipartisan Amendments:

Bipartisan Amendments Accepted:

• Total Conference Amendments Considered: 132

• Total Conference Roll Call Votes: **48** 

• Total Conference Amendments Accepted: 73

## Financial Services Committee Markup Debate Information

Total FSC Financial Reform markup time: Over 55 hours of debate

\* The following data was compiled from all the financial regulatory reform markups, starting with the executive compensation markup in July (Markup Dates: 4/28, 7/28, 10/14-15, 10/20-22, 10/27-28, 11/3-4, 11/5, 11/17-19, 12/2).

#### **Amendments Totals-**

120 Republican Amendments Considered46 Roll Call Votes on Republican Amendments51 Republican Amendments Accepted

134 Democratic Amendments Considered11 Roll Call Votes on Democratic Amendments111 Democratic Amendments Accepted

24 Bipartisan Amendments Considered3 Roll Call Votes on Bipartisan Amendments21 Bipartisan Amendments Accepted

**House Report 111-370** - PROVIDING FOR FURTHER CONSIDERATION OF THE BILL (H.R. 4173) TO PROVIDE FOR FINANCIAL REGULATORY REFORM, TO PROTECT CONSUMERS AND INVESTORS, TO ENHANCE FEDERAL UNDERSTANDING OF INSURANCE ISSUES, TO REGULATE THE OVER-THE-COUNTER DERIVATIVES MARKETS, AND FOR OTHER PURPOSES

Floor Amendments Made in Order Total: 36

- <u>321</u> Amendments Considered + <u>Floor Amendments</u> 36 = <u>357 Total Amendments</u> (Floor & Committee Markup)
- **71** Roll Call Votes on Amendments
- <u>207</u> Amendments Accepted

# Total number of public Financial Services Committee hearings related to financial reform prior to the passage of HR 4173: $\underline{41}$

## October 2009

October 20	009	
10/29/2009	Systemic Regulation, Prudential Matters, Resolution Authority and Securitization, 111-88 Full Committee	
10/8/2009	H.R. 2382, the Credit Card Interchange Fees Act of 2009 and H.R. 3639, the Expedited CARD Reform for Consumers Act of 2009, 111-86 Full Committee	
10/7/2009	Reform of the Over-the-Counter Derivative Market: Limiting Risk and Ensuring Fairness, 111-85 Full Committee	
10/6/2009	<u>Capital Markets Regulatory Reform: Strengthening Investor Protection, Enhancing Oversight of Private Pools of Capital, and Creating a National Insurance Office, 111-84</u> Full Committee	
10/1/2009	Federal Reserve Perspectives on Financial Regulatory Reform Proposals, 111-83 Full Committee	
September 2009		
9/30/2009	Reforming Credit Rating Agencies, 111-82 Capital Markets	
9/30/2009	Perspectives on the Consumer Financial Protection Agency, 111-81 Full Committee	
9/25/2009	H.R. 1207, the Federal Reserve Transparency Act of 2009, 111-80 Full Committee	
9/24/2009	Recent Innovations in Securitization, 111-79 Capital Markets	
9/24/2009	Experts' Perspectives on Systemic Risk and Resolution Issues, 111-78 Full Committee	
9/23/2009	The Administration's Proposals for Financial Regulatory Reform, 111-76 Full Committee	
9/23/2009	Federal Regulator Perspectives on Financial Regulatory Reform Proposals, 111-77 Full Committee	
9/17/2009	<u>Utilizing Technology to Improve TARP and Financial Oversight, 111-75</u> Oversight and Investigations	
July 2009		
7/24/2009	Regulatory Perspectives on the Obama Administration's Financial Regulatory Reform Proposals-Part Two, 111-68 Full Committee	
7/22/2009	Regulatory Perspectives on the Obama Administration's Financial Regulatory Reform Proposals, 111-66 Full Committee	
7/21/2009	<u>Systemic Risk: Are Some Institutions Too Big to Fail and If So, What Should We Do About It?, 111-65</u> Full Committee	
7/17/2009	<u>Industry Perspectives on the Obama Administration's Financial Regulatory Reform Proposals, 111-62</u> Full Committee	
7/16/2009	Community and Consumer Advocates' Perspectives on the Obama Administration's Financial Regulatory Reform Proposals, 111-61 Full Committee	
7/16/2009	Regulatory Restructuring: Safeguarding Consumer Protection and the Role of the Federal Reserve, 111-60 Domestic Monetary Policy & Technology	
7/15/2009	Banking Industry Perspectives on the Obama Administration's Financial Regulatory Reform Proposals, 111-58 Full Committee	
7/10/2009	A Review of the Administration's Proposal to Regulate the Over-the-Counter Derivatives Market Full Committee	

7/10/2009 A Review of the Administration's Proposal to Regulate the Over-the-Counter Derivatives Market, 111-55 Full Committee

## June 2009

6/25/2009	Improving Consumer Financial Literacy under the New Regulatory System, 111-50 Financial Institutions & Consumer Credit
6/24/2009	Regulatory Restructuring: Enhancing Consumer Financial Products Regulation, 111-49 Full Committee
6/18/2009	The Administration's Plan for the Restructuring of the American Financial Regulatory System Full Committee
6/16/2009	Systemic Risk and Insurance, 111-44 Capital Markets
6/11/2009	Compensation Structure and Systemic Risk, 111-42 Full Committee
6/9/2009	The Effective Regulation of the Over-the-Counter Derivatives Markets, 111-41 Capital Markets
May 2009	
5/21/2009	<u>Legislative Proposals to Improve the Efficiency and Oversight of Municipal Finance, 111-37</u> Full Committee
5/19/2009	Approaches to Improving Credit Rating Agency Regulation, 111-33 Capital Markets
5/14/2009	How Should the Federal Government Oversee Insurance?, 111-32 Capital Markets

# **April 2009**

5/7/2009

4/23/2009 H.R. 1728, the Mortgage Reform and Anti-Predatory Lending Act of 2009, 111-25 Full Committee

Perspectives on Hedge Fund Registration, 111-29 Capital Markets

4/2/2009 H.R. 1214, the Payday Loan Reform Act of 2009, 111-24 Financial Institutions & Consumer Credit

## **March 2009**

3/26/2009	Addressing the Need for Comprehensive Regulatory Reform, 111-22 Full Committee
3/25/2009	Exploring the Balance between Increased Credit Availability and Prudent Lending Standards, 111-21 Full Committee
3/20/2009	<u>Federal and State Enforcement of Financial Consumer and Investor Protection Laws, 111-18</u> Full Committee
3/17/2009	<u>Perspectives on Regulation of Systemic Risk in the Financial Services Industry, 111-14</u> Full Committee
3/5/2009	Perspectives on Systemic Risk, 111-10 Capital Markets

## February 2009

2/4/2009 <u>Assessing the Madoff Ponzi Scheme and Regulatory Failures</u>, 111-2 Capital Markets

# January 2009

1/5/2009 Assessing the Madoff Ponzi and the Need for Regulatory Reform, 111-B Full Committee

**Total number of markups on provisions included in HR 4173:** The committee held 5 markups, covering <u>10 bills</u>, over the course of 16 Days. (Dates include: 7/28, 10/14-15, 10/20-22, 10/27-28, 11/3-5, 11/17-19, 12/2)

#### November 2009

11/3/2009

H.R. 2609, Federal Insurance Office Act of 2009; Committee Print (October 29, 2009) of the Financial Stability Improvement Act of 2009, to be reported as H.R. 3996, 11/4/09, 11/5/09, 11/17/09, 11/18/09, 11/19/09, 12/2/09 Full Committee

## October 2009

10/27/2009

Discussion Draft, Private Fund Investment Advisers Registration Act of 2009, (to be reported as H.R. 3818); Discussion Draft, Investor Protection Act of 2009 (to be reported as H.R. 3817); Amendment in the nature of a substitute to H.R. 2609; and Discussion Draft, the Accountability and Transparency in Rating Agencies Act, (to be reported as H.R. 3890), Continuation of markup 10/28/09, 11/3/09, 11/4/09 Full Committee

10/14/2009

October 2, 2009 Discussion Draft of the Over-the-Counter Derivatives Markets Act of 2009; September 25, 2009 Discussion Draft of the Consumer Financial Protection Agency Act of 2009 (to be reported as H.R. 3126); H.R. 3763, to amend the Fair Credit Reporting Act to provide for an exclusion from Red Flag Guidelines for certain businesses; and H.R. 3639, Expedited CARD Reform for Consumers Act of 2009. (10/15/09; 10/20/09; 10/21/09, 10/22/09) Full Committee

# **July 2009**

7/28/2009

H.R. 3269, Corporate and Financial Institution Compensation Fairness Act of 2009 Full Committee

# **April 2009**

4/28/2009

H.R. 1327, Iran Sanctions Enabling Act and H.R. 1728, Mortgage Reform and Anti-Predatory Lending Act

- 1. H.R. 2609, Federal Insurance Office Act of 2009
- 2. Committee Print (October 29, 2009) of the Financial Stability Improvement Act of 2009, to be reported as H.R. 3996
- 3. Discussion Draft, Private Fund Investment Advisers Registration Act of 2009, (to be reported as H.R. 3818);
- 4. Discussion Draft, Investor Protection Act of 2009 (to be reported as H.R. 3817);
- 5. Amendment in the nature of a substitute to H.R. 2609, the Insurance Information Act of 2009
- 6. Discussion Draft, the Accountability and Transparency in Rating Agencies Act, (to be reported as H.R. 3890)
- 7. October 2, 2009 Discussion Draft of the Over-the-Counter Derivatives Markets Act of 2009
- 8. September 25, 2009 Discussion Draft of the Consumer Financial Protection Agency Act of 2009 (to be reported as H.R. 3126)
- 9. H.R. 3269, Corporate and Financial Institution Compensation Fairness Act of 2009 Full Committee

10. H.R. 1728, the Mortgage Reform and Anti-Predatory Lending Act